

Use and Preparation Guide

February 2014





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Abbreviated Ground Accident Report (AGAR) DA Form 285-AB

AGAR Use and Preparation for Ground Accidents

DA Form 285-AB is required for all Class C, D, and E on-duty and all off-duty accidents. The AGAR only reduces the reporting requirements and should not affect the quality or extent of the accident investigation.

Accident Classification Criteria

- Class A accident

 An Army accident in which—
 - The resulting total cost of property damage is \$2 million or more;
 - An Army aircraft is destroyed, missing, or abandoned; or
 - An injury and/or occupational illness results in a fatality or permanent total disability.
 - Note. Unmanned Aircraft System (UAS) accidents are classified based on the cost to repair or replace the UAS. A destroyed, missing, or abandoned UAS will not constitute a Class A accident unless replacement or repair cost is \$2 million or more.
- Class B accident

 An Army accident in which—
 - The resulting total cost of property damage is \$500,000 or more but less than \$2 million;
 - An injury and/or occupational illness results in permanent partial disability; or
 - When three or more personnel are hospitalized as inpatients as the result of a single occurrence.
- Class C accident An Army accident in which—
 - The resulting total cost of property damage is \$50,000 or more but less than \$500,000;
 - A nonfatal injury or occupational illness that causes 1 or more days away from work or training beyond the day or shift on which it occurred; or
 - Disability at any time (that does not meet the definition of Class A or Class B and is a day(s)-away-from-work case).
- Class D accident

 An Army accident in which—
 - The resulting total cost of property damage is \$20,000 or more but less than \$50,000;
 - A nonfatal injury or illness results in restricted work, transfer to another job, medical treatment greater than first aid, needle stick injuries, and cuts from sharps that are contaminated from another person's blood or other potentially infectious material, medical removal under medical surveillance requirements of an OSHA standard, occupational hearing loss; or
 - A work-related tuberculosis case.
- Class E ground accident An Army ground accident in which the resulting total cost of property damage is \$5,000 or more but less than \$20,000.

On-duty Accidents

- Class A & B accidents. The U.S. Army Combat Readiness/Safety Center (USACRC) must be notified immediately about any Class A or B on-duty Army ground accident. The information required is on the "Telephone Notification of Ground Accident" worksheet, DA Form 7306 on the CRC website or the Army Publishing Directorate website. These accidents are not reported on the AGAR, but are required to be investigated by an accident investigation board (see AR 385-10, paragraphs 3-13 through 3-16 for accident board requirements). This report will be completed and submitted to the USACRC within 90 calendar days from the date of the accident. OSHA also requires a report within 8 hours after the death of any Army civilian employee from a work related incident or the inpatient hospitalization of 3 or more Army civilian employees as a result of a work related incident. An activity representative must orally report the fatality/multiple hospitalization by telephone or in person to the area office of the OSHA, and the U.S. Department of Labor, that is nearest to the site of the incident. The representative may also use the OSHA toll-free central telephone number, 1-800-321-OSHA (1-800-321-6742).
- Class C, D, and E accidents. Class C accidents will be reported on the AGAR within 90 calendar days of the date of the accident, and Class D and E accidents will be reported within 30 calendar days for from the date of the accident. Appropriate additional substantiating information should be attached to the AGAR when it is forwarded to the USACRC. Use the AGAR to report civilian personnel injuries in lieu of OSHA Form 301. (OSHA reporting items are integrated into Army forms.)

Off-duty Accidents

- Class A & B accidents. The U.S. Army Combat Readiness/Safety Center (USACRC) must be notified immediately for any Class A or B off-duty Army ground accident involving military personnel. The information required is on the "Telephone Notification of Ground Accident" worksheet DA Form 7306 located on the CRC website or the Army Publishing Directorate website. These accidents will require follow-up with a completed AGAR within 30 calendar days of the date of the accident.
- Class C & D accidents. All Class C and D accidents will be reported on the AGAR within 30 calendar days of the date of the accident.

Combat Accident Reporting

All classes of accidents. The AGAR may be used to report all classes of accidents in areas of combat or contingency operations when the theater senior tactical commander determines that the situation, condition, and/or time does not permit normal investigation and reporting procedures. Standard reporting procedures found in AR 385-10 will be used when time and conditions permit. All Class A and B accident initial notification will be telephonic to USACRC.

GROUND ACCIDENTS NOTIFICATION & REPORTING REQUIREMENTS & SUSPENSES

	PEACET	IME	COMBAT ²						
Accident Class	Telephonic	Abbreviated	Full Report	Telephonic	AGAR ONLY				
	Notification	Report	DA Form	Notification	by any means possible				
	Worksheet	AGAR	285		(Reportit, email, fax, phone,				
					hand carry, mail)				
On-Duty	Immediately ¹	Not	IAI/CAI –	Immediately ₁	As time Permits (Not to Exceed				
A and B		Required	90 days		60 days)				
С	Not Required	Within 90	Not	Not Required	As time Permits (Not to Exceed				
		days	Required		60 days)				
D	Not Required	Within 30	Not	Not Required	As time Permits (Not to Exceed				
		days	Required	-	30 days)				
E	Not Required	Within 30	Not	Not Required	As time Permits (Not to Exceed				
		days	Required	-	30 days)				
Off-Duty	Immediately ¹	Within 30	Not	Immediately ¹	As time Permits (Not to Exceed				
A and B		days	Required		30 days)				
С	Not Required	Within 30	Not	Not Required	As time Permits (Not to Exceed				
	-	days	Required	-	30 days)				
D	Not Required	Within 30	Not	Not Required	As time Permits (Not to Exceed				
		days	Required	_	30 days)				

¹ USACRC must be notified IMMEDIATELY by phone at DSN 558-2660/3410 or Commercial (334) 255- 2660/3410.

Note: All reporting requirements are in <u>Calendar</u> days.

Submitting Reports

Forward the original of the completed AGAR to USACRC. Units should consult their local Safety Office or Higher Headquarters Safety Office for guidance on the proper routing procedures of accident reports in their command.

When time-sensitive safety-of-use issues are involved, telephonically notify the USACRC (334) 255-2660/3410 or DSN 558-2660/3410.

Forward reports as follows:

- Mail to: Commander, U.S. Army Combat Readiness/Safety Center ATTN: CSSC- O, Quality Control Support Branch Bldg 4905, Ruf Ave
 - Fort Rucker, AL 36362-5363
- Fax: (334) 255-2266 or DSN 558-2266
- Email: usarmy.rucker.hqda-secarmy.mbx.safe-accident-info@mail.mil

Points of contact for questions or help in completing this form is available at your local Safety Office or at USACRC (334)-255-2256/0272 or DSN 558-2256/0272.

An electronic copy of the DA FORM 285-AB in various formats may be obtained by clicking on https://safety.army.mil/, then click on ACCIDENT REPORTING & INVESTIGATION, then FORMS, then GROUND ACCIDENT FORMS & INSTRUCTIONS or go to the Army Publishing Directorate website http://www.apd.army.mil/.

ReportIt, the automated reporting system allows for quick and easy reporting through the USACRC web site: https://reportit.safety.army.mil.

²When the Senior Tactical Commander determines that the situation, conditions, or time does not permit normal peacetime investigation and reporting, refer to Figure 1-4 in DA PAM 385-40.

Detailed Instructions for Completing the AGAR

Type or print all entries. Continue on blank sheets of paper if necessary, indicating the date of accident, the unit/activity accountable for the accident, and the blocks being continued. For accidents involving more than one person, the entire form will be completed on the most responsible person. An additional AGAR with Blocks 1 through 5, and 11 through 37 (38 if applicable) will be completed for each additional person who contributed to the cause of the accident or was injured in the accident sequence. The instructions are keyed to block numbers. *Information not covered in this guide can be found in DA Pam 385-40.*

- 1. Block 1. Date and time of accident.
 - a. Enter the year (e.g., 2010)
 - b. Enter the month (e.g., 06)
 - c. Enter the day (e.g., 21)
 - d. Enter the local military time (e.g., 2315)
- 2. Block 2, Period of day. Check the block that best describes when the accident occurred (day or night). Day is from first light to full night (dark). Night is from full dark (full night) to first light. Dawn is the period between beginning of morning nautical twilight (BMNT) and official sunrise. Dusk is the period of time between official sunset and end of evening nautical twilight (EENT).
- **3. Block 3**. Accident Class. Enter the accident's classification: A, B, C, D, or E. (See definitions on page 1 of this publication).
- **4. Block 4.** Combat status. Check whether or not the accident occurred during combat. Combat should be checked if the accident occurred in a theater of hostile fire or enemy action, but not as a result of such fire/action. This includes direct preparation for combat, actual combat, or redeployment from a combat theater immediately following combat.
- **5. Block 5.** Unit Identification. Enter information for the unit or organization responsible for the accident. Guidance for determining accident accountability can be found in AR 385-10, paragraph 3-9.
- a. Block 5a. Enter the **six-digit** unit identification code (UIC) for the specific organizational unit or activity responsible for the accident (e.g., WAX2A0). UICs must begin with the letter W and cannot contain the letter O.
- b. Block 5b. Unit address. Enter the full military address of unit/organization (e.g., B Company, 2/18 Cavalry, Ft. Bragg, NC 12345-9876).
- c. Block 5c. Unit's Branch. Enter the abbreviation of Army branch the unit is affiliated with (e.g., Armor, Infantry, Engineer, etc.,) Army branches are listed in Table 4–2, p.14 of this publication.
- d. Block 5d. Army Headquarters. Enter the abbreviation for the Army command, Army Service Component Command, or Direct Reporting Unit that the unit/activity belongs to (e.g., Army Materiel Command, U.S. Army Europe and 7th Army, Forces Command, etc..)
- 6. Block 6. Location of the accident.
- a. Block 6a. Enter the exact location of the accident (e.g., building number, street name and address, distance from nearest landmark, etc.)
- b. Block 6b. Enter one code for primary function of the accident location, see Table 4–3, pp.14-16 of this publication.
 - c. Block 6c. Enter the grid coordinate or latitude/longitude for the accident location.
 - d. Block 6d. Enter the state or country if outside the United States.
- e. Block 6e. Indicate whether the accident occurred on or off post, and if on post, enter the name of the installation/activity.
- **7. Block 7**. Explosives/Ammunition. Check if explosives, ammunition, or pyrotechnics were involved. Involved meaning the explosives/ammunition had a causal or contributing role in the accident, to include severity of damage or injury/occupational illness. If "Yes" is checked, provide the information specified in DA PAM 385-

40, paragraph 5-3, in blocks 9, 39, 42, and the synopsis. Check the appropriate fields in block 39 if the explosive/ammunition was exposed to significant environmental conditions and describe in block 40.

8. Block 8. Mission.

- a. Block 8a. Briefly describe the mission the individual or unit was conducting at the time of the accident. If off duty, state so.
 - b. Block 8b. Was the task a Mission Essential Task List task? Check the appropriate box.
- **9. Block 9.** Vehicle/Equipment/Materiel Involved. "Involved" means vehicle/equipment/materiel/property that is damaged, whose use or misuse contributed to the accident or whose materiel failure/malfunction caused and/or contributed to the accident. Include Army and non-Army equipment/materiel. Use one line for each piece of equipment or item and enter the requested information. Continue on blank paper if necessary (be sure to annotate the accident date, location, and block number).
 - a. Block 9a. Enter the name of the equipment /material involved.
 - b. Block 9b. Enter the equipment model.
 - c. Block 9c. Enter the equipment serial number (if applicable).
 - d. Block 9d. Indicate who owns the vehicle/equipment/material (e.g., DOD, DA, Unit, POV, etc.).
 - e Block 9e. Enter an estimate of the damage cost for the piece of equipment listed in Block 9a.
- f. Block 9f. From the list below select the type(s) of collision in which this property/materiel was involved. More than one collision type might be appropriate for the property/materiel. If so, enter up to three, in sequence, in the space provided. If "Other" is selected, specify what type of collision in the space provided. If no collision was involved, leave blank.
 - 1 = Going forward and collided with moving vehicle
 - **2** = Going forward and collided with parked vehicle
 - **3** = Collision while backing
 - **4** = Collision with pedestrian
 - **5** = Collision with object (other than vehicle/pedestrian)
 - **6** = Overturned
 - **7** = Ran off road
 - 8 = Jackknifed
 - **9** = Going forward & rear-ended with moving vehicle
 - **10** = Going forward & rear-ended stopped vehicle
 - 11 = Collision while turning
 - **12** = Other (specify)

<u>Note:</u> If the item in block 9a experienced a materiel failure/malfunction that caused or contributed to the accident, complete blocks 9g-9l and block 10. If not, skip to block 11.

g. Block 9g – 9I, Materiel malfunction/failure information. Enter the code that indicates how the component/part failed/malfunctioned (mode of failure, see Table B-3 below). Complete items g through I for each component/part whose failure or malfunction contributed to the accident. Annotate whether an EIR/PQDR (SF 368) was prepared and submitted through appropriate channels for each component/part.

Table B-3 Materiel Failures/Malfunctions

Code: M01	
Keyword/Explanation:	Overheated/burned/melted. Key words: blister, boil, carbonize, char, flame, fuse, or glaze. Excessive heat caused material or equipment to fail or malfunction.
Code: M02	
Keyword/Explanation:	Froze (temperature). Key words: congeal or solidify. Excessive cold caused material/equipment to fail/malfunction.
Code: M03	•
Keyword/Explanation:	Obstructed/pinched/clogged. Key words: block, crimp, or restrict. Function of materiel or equipment was hindered or completely cut off by an obstacle.

Code: M04	
Keyword/Explanation:	Vibrated. Key words: oscillate or shake. Side-to-side or forward-and-backward movement of materiel or equipment caused it to fail or malfunction.
Code: M05	
Keyword/Explanation:	Rubbed/worn/frayed. Key words: abrade, chafe, fret, groove, score, or scrape. Friction-producing movement was applied to material or equipment to such and extent that it failed or malfunctioned.
Code: M06	
Keyword/Explanation:	Corroded/rusted/pitted. Key words: erode or oxidize. Gradual wearing away (usually by chemical action) of materiel or equipment to such an extent that it failed or malfunctioned.
Code: M07	
Keyword/Explanation:	Overpressured/burst. Key words: balloon, bulge, explode, rupture, or swell. Steady or abrupt force was applied over the surface of materiel or equipment to such an extent that it failed or malfunctioned.
Code: M08	
Keyword/Explanation:	Pulled/stretched. Key word; elongate. Steady or abrupt force applied to materiel or equipment caused it to move toward the force, in whole or in part, to such an extent that it failed or malfunctioned.
Code: M09	
Keyword/Explanation:	Twisted/torqued. Key word: turn. Steady or abrupt application of twisted forces caused materiel or equipment to fail or malfunction.
Code: M10	
Keyword/Explanation:	Compressed/hit/punctured. Key words: chip, collapse, crush, dent, nick, pinch, press. Steady or abrupt application of force that presses/impacts material or equipment causing it to fail or malfunction.
Code: M11	· · · · · · · · · · · · · · · · · · ·
Keyword/Explanation:	Bent/warped. Key words: bow or buckle. Changing materiel or equipment from an original straight, level, or even condition through the application of force to such an extent that it failed or malfunctioned.
Code: M12	
Keyword/Explanation:	Sheared/cut. Key words: chop or sever. Failure or malfunction was caused by steady or abrupt force applied to materiel, resulting in a break with the two parts sliding parallel to each other in different directions.
Code: M13	
Keyword/Explanation:	Decayed/decomposed. Key words: mildew, rot, or spoil. Chemical or biological action resulted in a gradual decline in materiel or equipment strength to such an extent that if failed or malfunctioned.
Code: M14	
Keyword/Explanation:	Electric current action. Key words: short, arc, fusing, grounding, amperage, voltage, surge. Action of electric current caused material or equipment to fail or malfunction.
Code: M15	
Keyword/Explanation:	No defect but does not meet the mission requirements.
Code: M97	
Keyword/Explanation:	Insufficient information to determine type of failure.

- **10. Block 10**. Why Did the Materiel Fail/Malfunction (Root Cause)? Materiel failures/malfunctions can be caused by the shortcomings of support. *Specific causes may include:*
- a. Block 10a Support Shortcomings in type, capability, amount, or condition of equipment, supplies, services, or facilities (equipment/materiel not provided or improperly designed, inadequate manufacture or maintenance, or inadequate facilities/services). Determine the underlying reason (root cause(s)) the materiel failed/malfunctioned and check accordingly (see DA Pam 385-40, Appendix B.)
- b. Block 10b. Describe how the materiel failed/malfunctioned and explain why (i.e., explain mode of failure from block 9g and root cause). Example: Block 9g = M05, and Block 10a = "Support Equip/Material improperly designed," enter why the improper design caused the material to fail or malfunction by friction producing movement.

Note: One complete form is required. If more than one individual is involved, submit an additional form, completing only blocks 1-5 and 11-37 (38 if applicable) for each person. Involved means any person who was injured or who took actions or made decisions that caused or contributed to the accident.

11. Block 11.

- a. Block 11a. Enter last name, first name, and middle initial of involved person. Include unit name, address, and UIC if it is **different** from block 5a.
- b. Block 11b. For Army civilians, Army contractors, or members of the visiting public that are injured, enter their home address.
- **12. Block 12.** Enter the SSN of the individual listed in block 11.
- 13. Block 13. Personnel Classification.
- a. Block 13a, Enter the code for the classification (at the time of the accident) of the person listed in block 11. See Table 4-5, p.16-17 of this publication.
- b. Block 13b, Date assigned/hired. For DOD personnel, enter the date the individual was assigned/hired at the unit/organization.
 - c. Block 13c. Indicate the date of redeployment, if applicable.
- **14. Block 14.** MOS/job series. For Army personnel, enter the full MOS or job series of the individual; e.g., 63B10, GS-0018-14, etc.
- **15.** Block **15**. Duty status.
- a. For DOD personnel, check the appropriate box to reflect the duty status at the time of the accident of the individual listed in block 11. (See Glossary in DA Pam 385-40 for definitions of on- and off-duty status).
 Note: This determination applies for safety accident reporting purposes only, and has no relation to compensability or line-of-duty decisions.)
- b. If the Soldier was on leave or pass at the time of the accident, check the box and enter the inclusive leave/pass dates (e.g., 20080705).
- 16. Block 16. Enter the date of birth for the individual listed in block 11(YYYYMMDD).
- 17. Block 17. Enter the gender for the individual listed in block 11 ("M" for male or "F" for female).
- **18. Block 18**. For DOD personnel, enter the rank/pay grade for the individual listed in block 11 (e.g., E5, 03, GS-11, WG-8). See Table 4-4 on p. 16 of this publication.
- **19. Block 19.** Check the appropriate box (for government personnel only) to indicate the military flight status of the individual listed in block 11.
- **20. Block 20.** Most Severe Injury/occupational illness. For the individual listed in block 11, complete Blocks a through d for the most severe injury/occupational illness.
- a. Block 20a, Degree. Enter the code that indicates the severity of the injury/occupational illness to the individual list in block 11 from the table below. If more than one applies, enter the most severe. See Glossary in DA Pam 385-40 for definitions.
 - $\mathbf{a} = \text{Fatal}$
 - **b** = Permanent Total Disability
 - c = Permanent Partial Disability
 - **d** = Davs Away From Work
 - **e** = Restricted Work Activity (Light duty, profile)
- **f** = Medical Treatment Beyond First Aid (Includes cases of loss of consciousness, needle stick/cuts from sharps)
 - **g** = First Aid Only
 - **h** = No injury/occupational illness

- b. Block 20b, Injury/illness Type. Enter the code below that best describes this person's most serious injury/occupational illness type. A - Burns (chemical) **B** - Burns (thermal) C - Amputation D - Decompression sickness **E** - Asphyxiation (suffocation) F - Fractures **G** - Dislocation H - Abrasions I - Concussion
 - J Sprains/strain
 - K Cuts/lacerations
 - L Contusion
 - M Puncture wound
 - N Hernia, rupture
 - O Frostbite
 - P Heatstroke
 - **Q** Heat exhaustion
 - R Noise injury
 - S Needle sticks or cuts from sharps
 - T Loss of consciousness
 - U Other (specify). e.g. electrocution
- c. Block 20c, Body Part. Enter the code below that best describes the most seriously injured part of this person's body. Body part entered here should be the one with the injury indicated in previous block.
 - A Body (General, cannot specify)
 - B Head
 - C Forehead
 - D Eves
 - E Nose
 - F Jaw
 - G Neck
 - H Trunk
 - I Chest
 - J Heart
 - K Back
 - L Shoulder
 - M Arms
 - N Wrist
 - O Hand
 - P Fingers
 - Q Lea
 - R Knee
 - S Ankle
 - **T** Foot
 - U Toes
 - V Other
- d. Block 20d, Cause. Enter the code below that best describes the cause of the most serious injury/occupational illness to this individual.
 - A Struck against
 - B Struck by
 - C Fell from elevation
 - D Fell from same level
 - E Caught in/under/between

- **F** Rubbed/abraded
- **G** Bodily reaction
- **H** Overexertion
- I Exposure
- J External contact
- **K** Ingested
- L Inhaled
- M Thrown from

21. Block 21. Lost time

- a. Block 21a, Days hospitalized. Enter the actual or estimated total number of days this individual will be hospitalized (inpatient/admitted) receiving treatment. Days hospitalized for "observation only" are only included if they miss a day of work.
- b. Block 21b, Days lost not hospitalized. Enter the estimated or actual number of days this individual will be away from work, totally unable to perform any work, on bed rest/quarters, convalescence leave, or time a physician indicated that the individual could not work regardless of whether the individual was scheduled to work. Count all calendar days including weekends and holidays. For example, if the individual was injured on Friday and the individual could work on Monday, if the physician or licensed health care professional indicated they should not work over the weekend, enter 2 days. If there is no information from the physician, enter 0 days. No more the 180 calendar days are required to be annotated.
- c. Block 21c, Days restricted. Enter the actual or estimated number of days the individual was unable to perform one or more routine job functions (regularly performed by the individual at least once per week), or could not work a full work day they would otherwise have been scheduled to work; or a physician or licensed health care professional recommends that the employee not perform one or more routine function of his/her job. Restricted work activities include light duty, profiles and job transfers.
- d. Block 21d, Treated in ER. Check appropriate box regarding ER treatment for individual, otherwise leave blank.

22. Block 22.

- a. OSHA Log 300 Case Number. For injured personnel, enter the OSHA Log 300 case number for the individual listed in block 11. (Note: Does not apply to off-duty Army civilian personnel/*Optional for military personnel*).
- b. Enter the name of the physician or other health care professional who treated the individual. Optional for military personnel.
 - c. If treatment was given away from the worksite, enter the name and address of the facility.
- **23. Block 23.** Activity Code. Enter the code that best describes this individual's activity at the time of the accident. Complete block 38 if the activity is parachuting.
 - **A** Soldiering
 - **B** Combat soldiering
 - **C** Physical training
 - D Weapons firing/handling
 - **E** Engineering or construction
 - F Communication
 - **G** Security/law enforcement
 - **H** Fire-fighting
 - I Patient care
 - **J** Test/study/experiments
 - **K** Educational
 - L Information and art
 - **M** Food and drug inspection
 - N Laundry/dry cleaning services
 - O Pest/plant control
 - P Operating vehicle/vessel
 - **Q** Handling animal
 - R Maintenance/repair/ servicing
 - **S** Fabricating

- T Handling material/ passengers
- U Janitorial/housekeeping, grounds keeping
- V Food/drink preparations
- **W** Supervisory
- X Office
- Y Counseling/advisory
- **Z** Sports
- **AA** Hobbies
- **BB** Passenger
- **CC** Human movement
- **DD** Horseplay
- **EE** By-standing/spectating
- FF Personal hygiene/ eating/sleeping
- **GG** Parachuting
- **24. Block 24.** Briefly describe this individual's activity at the time of the accident. For example, the Soldier was a right rear passenger in the vehicle at the time of the accident; the individual was performing maintenance on a split rim tire in the maintenance shop, etc.
- **25. Block 25.** Personal Protective Equipment. Determine what Personal Protective Equipment (PPE) was required for the activity/task being performed. If PPE was required, determine if it was available and used, available but not used, or not available. Check the appropriate blocks for each item of PPE to indicate availability and use/non-use. If no PPE was required, check the NA (not applicable) column for each type of PPE. For privately owned motorcycle accidents, indicate whether the helmet was Department of Transportation approved. NOTE: Restraint systems are those systems such as the Gunner's Restraint System in military vehicles.
- **26. Block 26.** Check the appropriate box to indicate whether or not this individual's use of alcohol or drugs (include prescription, over the counter, supplements or illegal drugs) caused or contributed to the accident. If "Yes" is checked, explain in block 40.
- **27. Block 27.** Equipment this Person was associated with. Enter the item number (e.g., #1, #2) from block 9 that indicates which piece of equipment this individual was associated with.
- 28. Block 28. Licensed to Operate Equipment.
- a. Block 28a. If this individual was operating a vehicle or equipment (at the time of the accident) that required a license, complete the following information. Check the appropriate block. If no, skip to block 29.
- b. Block 28b. Check "Yes" if the individual has attended the mandatory 4 hours of classroom instruction in traffic safety and indicate the date of the training. Otherwise, check "No."
- c. Block 28c. If the individual was operating a motorcycle in this accident, check yes if the individual is motorcycle safety foundation certified and enter the date. Otherwise, check "No."
- 29. Block 29. Duty Hours.
 - a. Block 29a. Enter the time the Soldier or employee began work.
- b. Block 29b. State how many continuous hours this individual was on duty without sleep before the accident.
- **30.** Block **30.** Hours Sleep. Enter the number of hours of sleep (cumulative) this individual had in the past 24 hours.
- **31. Block 31**. Tactical Training. Indicate whether the activity listed in blocks 23 and 24 was part of tactical training. Field exercise and tactical training begin when the individual reports to his or her primary duty location for movement to the field site and ends when he or she arrives back at the primary duty location from the field.

- **32.** Block **32.** Type Training Facility. If the individual was participating in any type of training, enter the code for the type of training facility being used. If not applicable, leave blank. Code/Facility
 - A = Garrison
 - **B** = Local training area
 - C = Major training area
 - D = NTC
 - E = JRTC
 - $\mathbf{F} = \mathsf{CMTC}$
 - **G** = Standard range facility/live fire
 - **H** = Other (specify)
- **33. Block 33.** Last Training. For the activity specified in blocks 23 and 24, enter the number of months since the last time the individual received training prior to the accident.
- **34. Block 34.** Named exercise. Check "Yes" if activity listed in blocks 23 and 24 was part of a field exercise or a named operation. Indicate the name of the exercise or operation (major and local field training exercise) if it has a name (e.g., Team Spirit, OIF/OEF). Check "No" if activity was not part of a field exercise or named operation.
- **35. Block 35.** Night Vision System. Indicate if night vision systems (devices) were being used by this individual at the time of the accident (e.g., night vision goggles, AN/PVS-14). If used, specify the type. If they caused or contributed to the accident, explain in Block 40.
- **36. Block 36.** Individual Mistake(s) that Caused/Contributed to the accident or severity of injury or occupational illness/damage.
- a. Block 36a. In your opinion, did this individual make a mistake(s) that caused and/or contributed to the accident? If the answer is YES, complete Blocks 36b, 36c, and Block 37. If NO, skip to Block 39.
- b. Block 36b. Enter the codes from Table B-2, pp. 17-20 of this publication, which best indicate the type of mistake(s) or task error(s) (TE) made by this individual.
- c. Block 36c. Describe the mistake and how it caused/contributed to the accident. Be specific, e.g., block 36a-YES; block 36b-52; block 36c- "The M109A3 howitzer driver trainee, while being ground guided into parking space, performed improper braking and improper foot placement on pedal. That is, when given the signal to stop, the driver moved his foot left to apply brakes and depressed upper level of accelerator pedal instead. Consequently, the vehicle ran over the ground guide's foot and fractured it." Attach continuation sheets as needed.
- **37. Block 37.** Why the Mistake(s) was made (system inadequacies/root cause [SI]). Mistakes can be caused by shortcomings of support, standards/procedures, training, leaders, or the individual. Specific causes include:
- Support Shortcomings in type, capability, amount or condition of equipment, supplies, services, facilities, and number and type personnel.
- Standards/procedures Standards/procedures not clear or not practical or standards/procedures do not exist.
- Training School training, Unit training, or Experience/On–the–Job training insufficient in content/amount.
- Leader Direct, Unit Command, or Higher Command Supervision not ready, willing, or able to enforce known standards.
- Individual Soldier knows and is trained to standard but elects not to follow standard (self-discipline-mistake due to own personal factors).
- a. Block 37a. Identify why the mistake was made (specific root cause(s)). See Table B-5, pp. 20-22 of this publication, for definitions. Check the box next to the associated root cause (multiple boxes can be checked).
- b. Block 37b. Describe the root cause(s) and tell how it/they caused the mistake. See Table B-5, pp. 20-22 of this publication, for definitions. For example, if block 37a = "Support Equip/Materiel Improperly Designed," then block 37b might say something like, "Design of accelerator pedal on M109 series, unlike M110, consists of two distinct levels with upper level immediately adjacent to brake pedal. As a result, when M109A3 howitzer driver was given the signal to stop, he moved his foot left to apply brakes and depressed

upper level of accelerator pedal instead (SI-11) (which caused the TE, improper braking—improper foot placement on pedal)." Attach continuation sheets as needed.

- **38. Block 38.** Parachuting information. If the activity for the individual listed in block 11 is parachuting, complete blocks 38a through q.
- a. Jumper Height In inches (example 5'8" would be 68")
- b. Jumper Weight In pounds (round up at > 1/2 lb or 8 ozs, example 168 1/2 lbs would be rounded up to 169 lbs)
- c. Type of Jump Static line, non-tactical; static line, mass tactical (night or day); freefall, non-tactical; freefall, tactical (night and day)
- d. Parachute Type/Model Self explanatory
- e. Equipment List type equipment (For example, rucksack (ALICE), weapon, LBE, AIR PAC)
- f. Weight of Equipment Give approximate weight of jumper's equipment, in pounds
- g. Wind Direction/Speed Jump height, drop zone What was the wind direction (in degrees) and speed (in knots) at jump altitude and on ground when jumper exited aircraft?
- h. Jump Altitude Altitude jumpers' exited aircraft (in feet)
- i. Position in the Stick What number in stick was jumper to exit the door?
- j. Door Exited Self explanatory
- k. Time Pre-jump Conducted Date and time (time in Zulu)
- I. Date of Last Jump Self explanatory
- m. Type of Last Jump See letter c above
- n. Number of Previous Jumps Self explanatory
- o. Date Graduated from Basic Airborne Training (yyyymmdd)
- p. Type Aircraft Self explanatory
- q. Accident Factors (parachute) improper exit, static line injury, broken static line, parachute malfunction, entanglement, lost/stolen air, oscillation, unstable position, dragged on drop zone, tree landing, drop zone hazard (specify), or other. Explain as necessary.
- **39. Block 39.** Environmental conditions. Enter the code(s) (no more than three from the list below) to indicate the conditions present at the time of the accident. Also indicate if the condition caused or contributed to the accident by checking the Caused/Contributed block b, and, if YES, explain in Block 40. Code/Condition
 - A = Clear/dry
 - **B** = Bright/glare
 - C = Dark/dim
 - **D** = Fog/condensation/frost
 - **E** = Mist/rain/sleet/hail
 - **F** = Snow/ice
 - **G** = Dust/fumes/gasses/smoke/vapors
 - **H** = Noise/bang/static
 - I = Temperature/humidity (cold/heat)
 - **J** = Storm/hurricane/tornado
 - **K** = Wind/gust/turbulence
 - **L** = Vibrate/shimmy/sway/shake
 - **M** = Radiation/laser/sunlight
 - **N** = Holes/rocky/rough/rutted/uneven
 - **O** = Inclined/steep
 - **P** = Slippery (not due to precipitation)
 - **Q** = Air pressure (bends, decompression, altitude, hypoxia)
 - **R** = Lightning/static electricity/grounding
 - **S** = Electromagnetic radiation (EMR)
 - **T** = OTHER (specify)

- **40. Block 40.** Synopsis. Provide a brief synopsis of the accident explaining what and how the accident happened. If need be, continue on a separate sheet of paper annotating the block number and attach it to the report. The synopsis should include the events leading up to the accident, the actual accident sequence, and the post accident scene and actions. For example, if a Soldier was involved in an off-duty POV accident, be sure to indicate where the Soldier was going, where he/she was coming from, etc.
- **41. Block 41.** Corrective Action(s) Taken or Planned. Briefly describe all actions taken, planned, or recommended to eliminate, or at least reduce, the root cause(s) of this accident and prevent similar accidents from happening. See Table B-6, pp. 23-24 of this publication.
- **42. Block 42.** Explosive/Ammunition. If block 7 was checked "Yes," complete blocks (a through d) as appropriate; lot numbers, quantity, net explosive weight (NEW) of all ammunition and explosives involved, and DODIC or DODAC.

<u>Note:</u> If the explosive/ammunition was exposed to significant environmental conditions, the environmental conditions should be checked in block 39, and an explanation of the conditions and their effect on the explosive/ammunition should be provided in the synopsis. Significant environmental conditions include the following: extremely high/low temperatures; electromagnetic environmental effects (E³); e.g., radiated energy (RFI) (such as being in close proximity to a radar site), electromagnetic energy (EMR), electrostatic energy or high voltage; water or high humidity; or prolonged exposure to direct sunlight.

43. Block 43. Point of Contact.

- a. Block 43a. Enter the name, rank, and position of the individual from the unit/organization who can answer questions about this accident report.
 - b. Block 43b. Enter the phone number for the individual listed in 43a.
 - c. Block 43c. Enter the AKO email address for the individual listed in block 43a.
- 44. Block 44. Command Review. As locally required.
- **45.** Block **45**. Safety Office Review.
- a. Block 45a. Enter the name, rank and title of the safety office reviewing official (usually the next higher office from individual in block 43).
 - b. Block 45b. Enter the DSN and commercial phone number of the safety office reviewing official.
 - c. Block 45c. Enter the AKO email address of the individual listed in 45a.
 - d. Block 45d. Enter the date the report was reviewed.
 - e. Block 45e. Enter the local report number (safety office use only).

Table 4-2 **Army Branches**

Army Branch	Abbreviation_	
Adjutant General	AG	
Air Defense Artillery	AD	
Armor	AR	
Army Medical Specialist Corps	SP	
Army Nurse Corps	AN	
Aviation	AV	
Chaplain	CH	
Chemical	CM	
Dental Corps	DC	
Engineers	EN	
Field Artillery	FA	
Finance Corps	FC	
Infantry	IN	
Judge Advocate General's Corps	JA	
Logistics	LG	
Medical Corps	MC	
Medical Service Corps	MS	
Military Intelligence	MI	
Military Police	MP	
Ordnance	OD	
Public Affairs	PA	
Quartermaster Corps	QM	
Signal Corps	SC	
Special Forces	SF	
Transportation Corps	TC	
Veterinary Corps	VC	

Table 4-3

C4 C5 C6

Dam

Types	s of Accident Locations
Code	Type Location
Mainte	enance/fabrication facility
A1	Vehicle facility (motor pool, maintenance shop)
A2	Aircraft facility (hangar)
A3	Vessel facility (boat overhaul/rebuild facility)
A4	Engineer facility (carpentry/electrical/plumbing shop)
A5	Other maintenance facility
Travel	ways
B1	Pedestrian way (sidewalk)
B2	Vehicle trail (tank trail)
B3	Roadway (street, curb, shoulder, driveway)
B4	Parking lot
B5	Aircraft way (flight line, runway)
B6	Railroad
Other	operational facilities/areas
C1	Office building
C2	Communications facility
C3	Construction site
C4	Security/law-enforcement facility
C5	Bridge
~~	D.

- **C7** Navigation locks C8 Barge C9 Dredge C10 Floating plant C11 Vessel (not elsewhere coded) ARNG/Reserve armory C12 **Training Areas** Range—small arms/individual weapons D1 D2 Range—crew-served weapons D3 Range—aerial firing/bombing D4 Range—infiltration course D5 Dedicated nonfiring training area (obstacle/confidence course, parachute drop zone, landing zone, stagefield) D6 Temporary training area (unit assembly area, bivouac area) D7 Range—EOD D8 Range—Tirehouse D9 **Urban Training** Service facilities E1 Library E2 Chapel/church E3 Child-care center E4 Post office E5 Laboratory Medical care facility E6 E7 Fire station E8 Commissary E9 Post exchange E10 Dining facilities E11 Post exchange, service station, gas station E12 Museum E13 Animal-care facility Refuse disposal area E14 E15 Laundry/cleaning facility Terrain and water locations F1 Sloped terrain (ditch, mountain) F2 Wooded terrain (forest, swamp, marsh) F3 Open terrain (field, desert) F4 Moving bodies of water (creek, stream, river) F5 Standing bodies of water (pond, lake, ocean) F6 Lake shore/beach Storage facilities G1 Storage buildings (ammunition bunker, warehouse, barn, storage shed) G2 Outside storage area (POL dump, property disposal area) Plants and factories H1 Heating plant H2 Printing plant H3 Electric generating plant (includes power substations) Ammunition/weapons manufacturing plant H4 Other industrial plants and factories H5 Recreation/entertainment facilities 11 Indoor facilities (bowling alley, gym, movie theater, swimming pool) 12 Outdoor facilities (playing fields, golf course, swimming pool) Housing facilities
- J1 Family housing
- J2 Individual housing (BOQ, barracks, rooms)

Freigh	t and passenger terminals
K1	Airport/airfield (includes control tower)
K2	Rail station/yard
K3	Port/dock/wharf
K4	Vehicle terminal (bus station, truck terminal)
Schoo	I facilities
L1	Kindergarten through grade 12
L2	Army-operated technical/occupational training facilities/classrooms (aviation/
	maintenance school)
L3	Non-Army-operated technical/occupational training facilities/classrooms (university/college classes)
Hobby	shop
M1	Auto hobby shop
M2	Woodworking hobby shop
<u>M3</u>	Other hobby shop

Table 4–4 Pay Grade/Rank Codes

r ay Oradontanik t	
Grade/Code	Description
01–10	Commissioned officer
W1–W5	Warrant officer
E1-E9	Enlisted service member
GS1-GS18 &	DOD civilian employee
GM13-GM18	
WG1-WG18 &	Wage board employee
WS13-WS18	
NSPS	National Security Personnel System
XN	Foreign National
X-1	Foreign officer
X-2	Foreign enlisted
CAC	Contractor employee
CIV	Non-DOD civilian
DAC	Department Army Civilian
KAD	USMA
ROTC	ROTC students
NRPT	Not Reported
OC	WOC/OC
UNK	Unknown
UNKE	Unknown Enlisted
UNKO	Unknown Officer
OTH	Personnel other than above

Table 4–5 Personnel Classification Codes

Description	
Active Army	
Army civilian	
Army contractor	
Army direct contractor	
Non-appropriated Fund employee	
	Description Active Army Army civilian Army contractor Army direct contractor

E0 Other US military personnel E1 E2 Air Force E3 Marine Corps F0 Foreign Military F1 Foreign National Direct Hire F2 Foreign National Indirect Hire F3 Foreign National KATUSA F4 Foreign Military Attached G Dependent M Government, Other NO **National Guard** N1 NG Tech **NG IDT** N2 N3 NG AT N4 **NG ADSW** N5 NG AGR N6 **NG ADT** N7 NG Activated O Other Р Public RO Reserve R1 Reserve IDT R2 Reserve AT Reserve ADT R3 R4 Reserve FTM R5 Reserve Tech R6 Reserve Activated R7 Reserve AGR Т **ROTC**

Table B-2 Ground Specific

Unknown

Not reported

Individual mistakes/task errors - Errors made by personnel not in a supervisory capacity or a supervisor that makes an error not related to supervisory responsibilities. For example, a supervisor was injured because he failed to wear his seatbelt.

Code: 01

U

Ζ

Keyword/explanation: Inadequate planning - Failed to properly organize or coordinate.

Improper modification of the plan during execution.

Code: 02

Keyword/explanation: Improperly/failed to lock/block/secure, (for example, load).

Code: 03

Keyword/explanation: Inadequate inspection/check of vehicle or equipment (before, during, after operations check). Failed to use the appropriate checklist or TM to perform the inspection.

Code: 04

Keyword/explanation: Improper application of safety equipment, device, guard, sign, signal, or PPE. Failed to adhere to posted warning signs/signals/guards. Failed to use required safety equipment, device, guard, sign, signal or PPE.

Code: 05

Keyword/explanation: Operating while fatigued when not necessary/directed.

Keyword/explanation: Improper use of equipment - Did not use equipment when required.

Used right equipment improperly. Used wrong equipment.

Code: 07

Keyword/explanation: Improper lifting - Used incorrect lifting technique. Failed to use appropriate assistance.

Code: 08

Keyword/explanation: Failed to take appropriate precautions for adverse environmental conditions (rain, haze, fog, snow, ice, reduced visibility).

Code: 09

Keyword/explanation: Improper body position - Hazardous position. Awkward position. Unprotected position (sleeping, eating).

Code: 10

Keyword/explanation: Improperly walked, ran, or climbed.

Code: 11

Keyword/explanation: Failed to stay alert, remain awake, or attentive to what was happening (situational awareness to environment, conditions, or operations). Failed to pay attention. Improperly divided attention. Improperly monitored. Improperly scanned. Fell asleep.

Code: 12

Keyword/explanation:

Failed to ensure adequate clearance/space (enough room) for operation.

Code: 13

Keyword/explanation: Misjudged clearance (improperly estimated/evaluated).

Code: 14

Keyword/explanation: Improper weapons and ammunition handling - Improper sighting, aiming, firing, throwing. Unauthorized use or handling. Improper carrying, lifting, transporting. Improper clearing, disarming, unloading. Improper assembling, cleaning, disassembling. Improper disposal or turn-in.

Code: 16

Keyword/explanation: Improperly pulled or pushed equipment or material.

Code: 17

Keyword/explanation: Failed to firmly grip/hold equipment/material.

Code: 18

Keyword/explanation: Inadequate improvising/troubleshooting.

Code: 19

Keyword/explanation: Inadequate crew coordination/communication.

- -Improper actions sequence improper sequencing or timing of actions with other crewmembers; for example, driver initiated vehicle movement before receiving clearance from ground guide or senior occupant.
- -Failure to offer assistance, information, or warning, (for example, driver failed to warn other crewmembers of impending hazard (rollover)).
- -Lack of positive communication, (for example, transmission, acknowledgement, or confirmation using standard terminology with specific qualifiers); (for example, tank commander failing to confirm crewmembers were clear before traversing turret).
- -Failure to announce decision/action that affects other crewmembers' duties, (for example, occupant failed to announce to the driver their decision to dismount the vehicle during a momentary halt).
- -Failed to direct/request assistance from other crewmembers, (for example, although neither track command (TC) nor driver could see, the TC failed to direct a crewmember to dismount and act as a ground guide).

Code: 20

Keyword/explanation: Improper assembly.

Code: 21

Keyword/explanation: Under the influence of drugs or alcohol.

Codes 22-39 reserved for future use.

VEHICLE/EQUIPMENT SPECIFIC

Code: 40

Keyword/explanation: Excessive speed. Exceeding the posted speed limits. Excessive speed for conditions.

Code: 41

Keyword/explanation: Improper passing. Misjudged clearance while passing. Passing at an unsafe time or place. Failed to take precautions when passing pedestrians.

Code: 42

Keyword/explanation: Improper turning. Failed to yield the right-of-way while turning. Oversteering while turning. Improper U–Turn.

Code: 43

Keyword/explanation: Failed to yield the right-of-way other than when turning.

Code: 44

Keyword/explanation: Failed to stop at a controlled intersection.

Code: 45

Keyword/explanation: Improperly stopped or parked.

Code: 46

Keyword/explanation: Improper backing.

Code: 47

Keyword/explanation: Failed to use a ground guide when required.

Code: 48

Keyword/explanation: Ground guide used improper/incorrect position, signal, or procedure.

Code: 49

Keyword/explanation: Following too close for environmental conditions or vehicle speed/design.

Code: 50

Keyword/explanation: Driving in the wrong lane.

Code: 51

Keyword/explanation: Improper lane change.

Code: 52

Keyword/explanation: Improper braking. Improper placement on the pedal. Too much or too little pressure. Applied too soon or too late.

Code: 53

Keyword/explanation: Improperly shifted/placed gear selector on vehicle or equipment.

Code: 54

Keyword/explanation: Abrupt control/steering response (except while turning).

Code: 55

Keyword/explanation: Improperly mounted or dismounted vehicle/equipment.

Code: 56

Keyword/explanation: Operating vehicle/equipment with known malfunction/unsafe mechanical

conditions.

Codes: 57–74 reserved for future use.

Leader/Supervisory Mistakes/Task Errors - Errors made by personnel acting in a leader or supervisory capacity in which they failed to execute a task associated with supervisory responsibilities.

Code: 75

Keyword/explanation: Improper personnel selection/assignment. Inexperienced. Untrained. Unlicensed. Impaired, (for example, fatigued).

Code: 76

Keyword/explanation: Knowingly allowed equipment operator to violate procedures.

Keyword/explanation: Failure to ensure proper positioning of personnel prior to vehicle/equipment

operation. Code: 78

Keyword/explanation: Failure to brief or provide adequate information.

Code: 79

Keyword/explanation: Failure to assign responsibilities before or during the mission.

Code: 80

Keyword/explanation: Inadequately/improperly selected site location/termination point, (for example, size, obstacles/environmental hazards/crew experience).

Code: 81

Keyword/explanation: Improperly prepared site location, (for example, type/placement of markers/detection/removal of obstacles/hazards).

Code: 82

Keyword/explanation: Failed to ensure adequate inspection/check of vehicle or equipment (before, during, after operations check) was conducted. Failed to ensure the appropriate checklist or TM to perform the inspection was used.

Code: 83

Keyword/explanation: Failed to conduct adequate pre-mission inspection of personnel or equipment.

Code: 84

Keyword/explanation: Failed to ensure repairs, services, modifications, installations, or maintenance such as lubrication/inspections, were completed in accordance with appropriate TMs and SOPs.

Code: 85

Keyword/explanation: Failed to take appropriate/timely actions to prevent or stop violations of safe operations/procedures; for example, make on the spot corrections.

Code: 86

Keyword/explanation: Failed to enforce use of personal protective equipment.

Code: 87

Keyword/explanation: Inadequate mission planning.

- -Inadequate time allowed for pre-mission preparation. Set mission start time which did not allow adequate pre-mission preparation.
- -Set/permitted inappropriate mission start time for environmental/weather conditions.
- -Mission execution failure to properly modify the plan or procedure(s) in response to mission events, conditions, or circumstances. Improperly modifying the plan during execution.
- -Pre-mission planning failure to choose appropriate options for known conditions and contingencies and develops this into a course of action to maximize probability of mission accomplishment. Risk management, operational, and logistical decisions.

Code: 97

Keyword/explanation: Insufficient information to determine the mistake or task error.

Table B-5

System inadequacies/readiness shortcomings/root causes ("Why" the error, failure, or malfunction occurred)

LEADER FAILURE occurs when leaders fail to monitor mission execution and planning, correct inappropriate behavior, take appropriate action, or emphasize correct procedures that allowed subordinates to commit task errors or results in a materiel failure.

Code: 01

Keyword/explanation: Inadequate/improper supervision by higher command.

Code: 02

Keyword/explanation: Inadequate/improper supervision by staff officer.

Keyword/explanation: Inadequate/improper supervision by unit command.

Code: 04

Keyword/explanation: Inadequate/improper supervision by direct supervisor/noncommissioned officer in charge/platoon leader/instructor. Note: Inadequate supervision becomes a root cause when it leads to accident-causing personnel mistakes or materiel failure/malfunctions. Inadequate supervision is more clearly identifiable at the immediate-supervisor level.

Code: 04A

Keyword/explanation: When a leader who is not in the individual chain of command fails to make an on-the-spot correction.

TRAINING FAILURE occurs when training is incorrect, incomplete, insufficient for performance to standard.

Code: 05

Keyword/explanation: Inadequate school training. School training becomes a root cause when people make accident-causing mistakes because the school training was inadequate in content or amount.

Code: 06

Keyword/explanation: Inadequate unit/on-the-job training. Unit/on-the-job training becomes a root cause when people make accident-causing mistakes because the training provided was inadequate in content or amount.

Code: 07

Keyword/explanation: Inadequate experience. Supervised on-the-job experience is the follow-up to school and unit training programs. Experience becomes a root cause when people make accident-causing mistakes because the experience provided was inadequate in content or amount.

Code: 08

Keyword/explanation: Habit interference becomes a root cause when a person makes an accident-causing error because task performance was interfered with either the way he usually performs similar tasks, or the way he usually performs the same task under different conditions or with different equipment.

STANDARDS FAILURE occurs when standards do not exist or they are unclear, impractical, or inadequate.

Code: 09

Keyword/explanation: Inadequate written procedures for operation under normal or abnormal/emergency conditions. Inadequate written procedures (AR, TM, SOP, written directives) become the root causes when they lead to accident-causing mistakes or material failures/malfunctions.

SUPPORT FAILURE occurs when the type, amount, capabilities, condition of the support is sufficient to correctly perform the mission. Support includes: personnel, equipment, materiel, supplies, services, or facilities.

Code: 10

Keyword/explanation: Inadequate facilities/service. Inadequate facilities or services become root causes when the maintenance, space and/or support provided for personnel and materiel to accomplish their functions cause mistakes or failures/malfunctions that lead to accidents. (Examples of facilities or services are recreation areas, POL services, housing, medical clinics/hospitals, weather service, storage areas, maintenance facilities, and property disposal.)

Code: 11

Keyword/explanation: Inadequate/improper equipment design or equipment not provided. Improperly designed equipment and materiel or lack of equipment/materiel become root causes when the design or lack of equipment leads to accident-causing personnel errors or materiel failures/malfunctions.

Keyword/explanation: Insufficient number or type of personnel. Insufficient number or type of personnel becomes a root cause when people make accident-causing mistakes or material fails/malfunctions because the number or type of personnel was insufficient.

Code: 13

Keyword/explanation: Inadequate quality control, manufacture, packaging, or assembly. The inadequate manufacture, assembly, packaging, or quality control of materiel becomes a root cause when it leads to accident causing personnel errors or materiel failures/malfunctions. Note: (Includes original manufacture and rebuild.)

Code: 14

Keyword/explanation: Inadequate maintenance. Inadequate maintenance. Inadequate maintenance (inspection, installation, troubleshooting, recordkeeping) becomes a root cause when it leads to accident-causing personnel errors or material failures/malfunctions.

INDIVIDUAL FAILURE occurs when the individual knows the standard and is trained to standard but elected not to follow the standard.

Code: 15

Keyword/explanation: Fear/Excitement/Anger (inadequate composure). Each person is a part of the system. Therefore, his state of mind is a system element. Inadequate composure is a temporary state of mind that becomes a root cause when a person makes an accident-causing error because of fear, excitement, or some related emotional factor made clear, rational thought impossible.

Code: 16

Keyword/explanation: Overconfidence/complacency in abilities. Overconfidence is a temporary state of mine that becomes a root cause when an accident is caused by a person's unwarranted reliance on their own ability to perform a task, the ability of someone else to perform a task, the performance capabilities of equipment or other materiel.

Code: 17

Keyword/explanation: Lack of confidence. Lack of confidence is temporary of mind that becomes a root cause when accident is caused by a person's unwarranted lack of reliance on his own ability to perform the task, the ability of someone else to perform the task, the performance capabilities of equipment or other material.

Code: 18

Keyword/explanation: Haste. A temporary state of mind that becomes a root cause when a person makes a mistake because they are in a hurry and the error contributes to or causes an accident.

Code: 19

Keyword/explanation: Fatigue (self-induced). Fatigue is a temporary physical and/or mental state that becomes a root cause when a person makes an accident-causing error because of reduced physical or mental capabilities resulting from previous activity and/or lack of rest.

Code: 20

Keyword/explanation: Effects of alcohol, drugs, illness. The temporary effects of alcohol, drugs, or illness become a root cause when a person makes an accident-causing error because of reduced physical or mental capabilities resulting from one or more of these effects.

Code: 21

Keyword/explanation: Poor attitude/indiscipline. A temporary state of mind that becomes a root cause when a person's unwarranted or willful disregard for existing standards or know safe conduct results in an error that causes or contributes to an accident.

Code: 22

Keyword/explanation: Environment conditions. Unknown or unavoidable conditions, which result in materiel failure or induce human error.

Code: 97

Keyword/explanation: Insufficient information to determine system inadequacy/cause.

Table B-6

Recommendations/controls/corrective actions/countermeasures

Code: 01

Keyword/explanation: Improve school training. The improvement recommended should be directed toward the content or amount of school training needed to correct the accident-causing error. For example: Provide school training for the person who made the error due to not being school trained. Improve the content of a school training program to better cover the task in which the error was made. Expand the amount of school training given on the task in which the error was made.

Code: 02

Keyword/explanation: Improve unit training. The improvement recommended should be directed toward the content or amount of unit training needed to correct the accident-causing error. For example: Provide unit training for the person who made the error due to not being unit trained. Improve the content of unit training to better cover the task in which the error was made. Expand the amount of unit training given on the task in which the error was made.

Code: 03

Keyword/explanation: Revise procedures for operation under normal or abnormal/emergency conditions. The changes recommended should be directed toward changing existing procedures or including new ones. If the change is to an AR, TM, FM, Soldier's Manual, or other Army publication, tell the date when DA Form 2028 was submitted.

Code: 04

Keyword/explanation: Ensure personnel are ready to perform. The purpose of this recommendation is to encourage supervisors to make sure that their people are capable of performing a job before making an assignment. They should consider training, experience, physical condition, and psychophysiological state, (for example, fatigue, haste, excessive motivation, overconfidence, effects of alcohol/drugs.)

Code: 05

Keyword/explanation: Inform personnel of problems and remedies. This recommendation should be used when it is necessary to relay accident-related information to people at unit, installation, Army Command (ACOM), or DA levels.

Code: 06

Keyword/explanation: Positive command action. The purpose of this corrective action is to recommend that the supervisor take action to encourage proper performance and discourage improper performance by the personnel.

Code: 07

Keyword/explanation: Provide personnel resources required for the job. This recommendation is intended to prevent an accident caused by not enough qualified people being assigned to perform the job safely.

Code: 08

Keyword/Explanation: Redesign (or provide) equipment or materiel. This recommendation is made when equipment or materiel caused or contributed to an accident because:

- a. The required equipment or materiel was not available.
- b. The equipment or materiel used was not properly designed.

Code: 09

Keyword/explanation: Improve (or provide) facilities or services. This recommendation is made when facilities or services lead to an accident because—

- a. The required facilities or services were not available.
- b. The facilities or services used were inadequate.

Code: 10

Keyword/explanation: Improve quality control. This recommendation is directed primarily toward the improvement of training, manufacturing, and maintenance operations where poor quality products (personnel or materiel) have led to accidents.

Keyword/explanation: Perform studies to get solutions to root cause. This recommendation should be made when corrective actions cannot be determined without special study. Such studies can range from informal efforts at unit level to highly technical research projects performed by DA-level agencies.

Example of completed DA Form 285-AB, Page 1

Abbreviated Ground Accident Report (AGAR)

U.S. ARMY ABBREVIATED GROUND ACCIDENT REPORT (AGAR) For use of this form, see and DA Pamphiet 385-40; the proponent agency is OCSA CSOCS-306												SYMBOL									
1. TI	ME & DATE OF ACCIDENT	a. Yr g	200	g b. Mth	05 c. c)ay 10) d.		PERIOD O			usk	Dawn		ODT CLA	SS B	4.0	OMBAT STAT	US Co	mbat 🛚	Non-Combat
5. UI	IT IDENTIFICATION a. UIC	(ő-algit	Coc	de) WBA	WB0	b.Unif	Addr	ess B Co, A	A-111 BN	l, Fo	ort Fu	ın, CA	3335	6	c. Uniť	s Branch	TC		5d. Ai	my HQ's]	ORSCOM
6. LC	CATION OF ACCIDENT	Exact I orner		ation A and B s	treet, Ft	. Fun,	CA											n DA Pam	6c. Grid Coordinates/Lat-Long DA Pam MJ123456		
d. 8t	d. State/Country California/USA e. Off Post On Post Name: Ft. Fun., CA. 7. EXPLOSIVES/AMMO INVOLVED? Yes No											Yes 🛛 No									
8. M	SSION a. Briefly describe	the mi	issio	in.	Off-dut	у													b. METI	. Task?	Yes No
9. V	EHICLE/EQUIPMENT/MATER	IEL IN	VOL	VED																	
	a. Type of Item (Nomenclature	e)			b. Make	Model :	:		c. Serial:	#				d. Owne	ership		e. Estir	mated Cost of (Damage	f. Vehicle	Collision
	POV				Honda	Accor	d 4dı	:-	VIN#					SGT S	mith (P	OV)	\$0.00			7&6	
#1	Materiel Fallure/Maifunction Ir	nformat	tion	(Blks 9g-9	1)																
	g. Fallure Mode	h. Pa	rt N	omenclatu	re			I. Part≠			J	. Part	NSN			k.	Part Mar	nufacturer Code	:	I. EIR/QDI	R Submitted
																				Yes	No
Г	a. Type of Item (Nomenclature	e)			b. Make	Model :	:	•	c. Şerlal :	#				d. Owne	ership		e. Estir	mated Cost of (Damage	f. Vehicle Collision	
#2	Materiel Fallure/Maifunction in	nformat	tion	(Blks 9g-9	1)																
	g. Fallure Mode	h. Pa	rt N	omenciatu	re	I. Part≠				j. Part NSN				k.	k. Part Manufacturer Code				I. EIR/QDR Submitted		
																Yes	No				
10.	WHY DID THE MATERIEL FA causes(s) led to the materiel				(Check th	e root c	ause	s(s) in Bik 10a.	in Bik 10b	., ех	plain i	how the	root					e how the mate y (root cause).	riel falled/m:	ifunctioned	and
ā.	LEADER (Not ready, willing, or able enforce standards)	to		STDS/PRO Not clear, I						SUPPORT bility, amount or condition of equip/su ervices/facilities)				lp/supplik			, ,				
	Direct Supervision			AR	SOP			Equip/Materiel	improperly	y Designed Inadequate Manufactu				anufactur	e						
	Unit Command Supervision			тм	Other			Equip/Materiel I	Not Provide	d			Inade	quate Ma	aintenan	ce					
	Higher Command Supervision			FM	None E	xists		Inadequate Fac	ilities/Servi	ices			Other								
	NAME (Last, First, MI) (Inclu rent than Biks 5a and 5b.)	de Ado	ires	s and UIC	ır	12. 8	SN			13a	. PE	RSONN	EL CL	ASSIFIC	CATION	13	b. DATE	A\$\$IGNED/H	IRED (YYY	YMMDD)	
anre	rent (nan bika sa anu su.)						1	11-22-2333		A											
Smi	th, Joey K.									L						Ц.			20061112		
								OF REDEPLO	YMENT	14.	MOS	JOB 8	ERIES	3	\vdash	UTY ST	ATUS	15b. IF OFF	DUTY (If on Date from		
11b.	HOME ADDRESS					ı		E (YYYYMMDD	0)	881	P20				l⊓ °	n-duty	ty Leave			200805	
8900 K Street								20070922						⊠ ∘	Off-duty Pass			Date to (YYYYMMDD) 20080512			
Ave	nville, CA 31111					16. D	ов (У	YYYMMDD)				GENDE	R		- 1		GRADE		19. FLIGH	T STATUS	
								19830110			М				E	E-5			Yes	× 1	io

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20. MOST SEVERE INJURY (See Instructions) a. Degree C Date of									Death (Y)	YYYMM	OD)	b. Type		F	c. Body P	art B		d. Ca	use	A	
21. L	OST TIME				A	ACTIVIT	Y OF IND	IVIDUA	L Prov	ide code	(from lis	t in instructions) and	l describe	in sp	ace below.						
a. Days Hospitalized 90 23. ACTIVITY CODE (If										24. SPECIFIC DESCRIPTION OF ACTIVITY/TASK											
b. Days lost not Hospitalized 30							s parachi e Blk 38)														
c.	Days Restricted		1	80	$\Box_{\scriptscriptstyle m P}$	Service member was operating his POV at the time of the accident.															
		Yes		No																	
22a.	OSHA Log 300 C	ase No.																			
b.	Name of Physici	an																			
c.	Name and Addre	ss of Trea	tment f	Facility																	
										26. ALC	OHOL/	ORUGS CAUSE/COI	NT		27. EQUIP TH	S PERSON	N WAS A	SSOCIATED	WITH?		
25. F	PERSONAL PRO	TECTIVE	EQUIP	MENT						Yes	BAC %	0.09 No	Unk	nown	(Enter Item #1	No. from E	3lk 9)				
CHE	CK APPROPRIAT	E BLOCK	(S)		AVAIL	ABLE?	USE)?	N/A	28a. LIC	CENSED	TO OPERATE EQU	JIPMENT	281	b. MANDATORY	4hr TRAFF	IC SAFE	TY TRAININ	G		
\boxtimes	a. Seat Belt		1-7		Yes					Σ	Yes	No N/	Α		Yes	No	If Yes, [Date: 2008(122	_	
Ä	b. Goggles/gla	asses/viso	r		П	П	H	П	Ħ	28c. MS	28c. MSF CERTIFIED 29. DUTY HOURS										
Ħ	c. Gloves				Ħ	Ħ	Ħ	Ħ	Ħ	_	Yes	No If Yes, Da	to:		a. T	me work be	egan (e.g	., 0645): 06	505		
	d. Ear Plugs									L] '63	No II les, ba			b. C	ontinuous h	nours: 1	_			•
	e. IBA									30. HRS	0 0 1 0 0 0	31. TACTICAL T	DAINING		32. TYPE TRAII	IING EACH	LITV	33. LAST	TDAININ	I.G.	_
Щ	f. Other (Spe	cify)			Ц	Ш	Ш	Ш	Ц	LAST 24					JZ. TIFE IKAII	IING FACI	LIII	33. LAST	IKAINII	10	
Ш	g. Helmet DOT Approved	(if Motorcy	ycle) ?	Yes	□ N	0				4		Yes	N∘								
34. F	FIELD EXERCISE	/NAMED (OPERA	ATION								35. NIGHT VISIO	ON SYST	EM U	SED						
	Yes X	No If Yes,	, provid	de name:						Yes No If Yes, provide type:											
	ID INDIVIDUAL					D/CON	TRIBUTE	D TO A	CCIDE	NT OR S	EVERIT	Y OF INJURY/DAMA	AGE? In	Blk a,	, indicate if individ	lual made	a mistake	e. If yes, pro	vide the	code	
a. Mi						d bow it	oourod/s	ontribu	tod to t	the socials		rverity of injury/dam	200								
a. mi													-					60 70 MDI		^ . (D)	
	_							-				the posted speed l ed to utilize his s					-				
b. C	- 1 -								-			ed to utilize ins s e findings, attach							ilei in	ciii pii	01 10
04.0	5,21,40	ocpuiro.		. , 00 010	. (1/1/	J. I.		. 01001		equire i	pr	2111011150, 400001			i oneero ano ao			o needed.)			
_		MISTAKE	MADE?	? (ROOT	CAUS	SE) (Che	ck the ro	ot caus	e(s) in	Blk a. In	Blk b. t	ell how the root caus	se(s) led	to the	mistake.)						
а.	LEADER			TRAINING		7,2.7							UPPORT					INDIV	DUAL		
a. LEADER TRAINING STDS/PROCEDURE (Not ready, willing, or (Insufficient in (Not clear/Not practic) able to enforce standards) Content/Amount										(Sho	rtcomings in type, ca equip/supplie	apability,	amou			(Mista	ke due to ow		nal fact	ors)	
									SOP			Equip/Materiel Improperly Designed			Inadequate Manufacture		Poor/Ba	ad Attitude	\boxtimes	Fatigu	e
	Unit Command Supervision			Unit			тм		Other	r		Equip/Materiel Not Provided	\top		Inadequate Maintenance		Overco	nfident	\boxtimes	Alcoh	ol, Drugs
	Higher Comma Supervision	ind		Experie:	nce,		FM		None	exists		Inadequate Facilities/Services			Other		In a Hu	rry		Fear/E	Excitement

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37b. Describe root cause(s) (reason) and tel	Il how it/they caused the mistake.	-								
The soldiers decision making process										
and always demonstrated good driving		ary vehicles. Th	he soldier was not counseled,	briefed or integrated	l back into th	e unit after	return fro	m Iraq.		
38. PARACHUTE INFORMATION FOR PER					39. ENVIRONI					
a. Jumper Height	per Height g. Wind Direction/Speed at m. Type of Last Jump									
b. Jumper Weight	Jump Height Drop 2	Cone	n. Number of Previous Jumps		#1 C	Yes	No	Unk		
c. Type of Jump	h. Jump Altitude		Date Graduated Basic Airborne (YYYYMMDD)	Training	#2 <u>A</u>	Yes	No	Unk		
d. Parachute Type/Model	i. Position in Stick		(TTTTMINED)		#3	Yes	No	Unk		
e. Equpiment	j. Door Exited		p. Type Aircraft		b. Caused/Co #1 C	ntributed: Yes	No	Unk		
	k. Time Pre-jump Conducted		q. Accident Factors (parachute):	(Explain as necessary)	#2 A	Yes	No No	Unk		
f. Wt. of Equipment	I. Date of Last Jump				#3	Yes	No	Unk		
40. PROVIDE BRIEF SYNOPSIS OF ACDT	(Use additional sheets if required)	(Explain sequence	e of events, tell how acdt happened.	.)						
two soldiers back to their home static barracks they changed clothes and be shoppette back to the barracks with S road. The driver lost control of the verolled down the 15' embankment land 41. CORRECTIVE ACTION(S) TAKEN OR P a. Unit Level: 1) Commander, B Co, to releasing them from duty. b) Brief personnel back into the unit after dep	gan drinking beer. A little bef GT Smith driving. He acceler hicle while negotiating the cu- ing upright. The passenger ego PLANNED A-111 BN; a) Ensure all pers all unit personnel on the factu- loyments.	ore midnight the rated to a speed rves and slid of ressed with min onnel returning s and circumsta	ey ran out of beer and drove to between 60-70 MPH within if the roadway into a gravel are nor cuts and bruises, the driver from deployments report to to nees surrounding this acciden	o a nearby shoppette 1/3 of a mile prior to ea on the right side to sustained a severe their supervisor for i	e to purchase o entering int until reaching head injury(a instructions a	more beer. to a series of an embank ttach cont. nd appropr	They depart of S-curves ament then sheet(s) as	arted the s in the barrel needed)		
b. Higher Level: None c. Army Leve		inuation sheets		T						
42. EXPLOSIVE/AMMUNITION INFORMA	ATION ITEM 1		ITEM 2	ITEM 3	1		ITEM 4			
a. Lot#										
b. Quantity										
c. Net Explosive Weight (NEW)										
d. DoDIC/DoDAC										
43. POINT OF CONTACT INFORMATION (ON THE ACCIDENT	•								
a. Name (Last, First, MI), Rank Position/T				b. Telephone No.		2222				
Johnson, Mary, A. MAJ, BN Safey O	Incer				сом: (111) 333-222	2			
				c. Email Address:	mary.johnso	n2@us.arn	ıy.mil			
44. COMMAND REVIEW a. Name Whi	te, Donald E.	b. Signature	•	c. Rank LTC	d. Da	ate (YYYYMM	DD) 2008	0528		
45. SAFTETY OFFICE REVIEW a. Name	e, Rank & Title Johnny A. Pers	son, GS13, Chie	ef, BDE Ground Safety		b. Ph	one Number	DSN 3	33-1212		
c. Email Address johnny.a.perso	on@us.army.mil	d. Date Review	wed (YYYYMMDD) 20080528	e. Local Report No.	(Safety Office use only) 080510-011					

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For questions regarding ground accident reporting contact: Comm. (334) 255-2256/0272 or DSN 558-2256/0272

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To Find More Information: https://safety.army.mil

Submit an Electronic Copy to: usarmy.rucker.hqda-secarmy.mbx.safe-accident-info@mail.mil

ReportIt – Automated Reporting Tool https://reportit.safety.army.mil

Reach the USACRC Help Desk at <u>usarmy.rucker.hqda-secarmy.mbx.safe-helpdesk@mail.mil</u> or Comm. (334) 255-1390 or DSN 558-1390

